

## LIVESTOCK AS A RESERVOIR OF EXTENDED SPECTRUM $\beta$ -LACTAMASE PRODUCING GRAM-NEGATIVE ORGANISMS

I. SAMANTA, S. CHATTOPADHYAY, T.K SAR<sup>1</sup>,  
S. BANDYOPADHYAY<sup>2</sup> AND S.N. JOARDAR\*

*Department of Veterinary Microbiology  
Faculty of Veterinary and Animal Sciences  
West Bengal University of Animal and Fishery Sciences  
Kolkata-700 037, West Bengal*

Resistance to  $\beta$ -lactam antibiotics in gram negative organisms (GNOs) is mediated through different mechanisms. Mutations in the penicillin binding proteins, disruption of porin proteins and efflux systems, production of enzyme that hydrolyzes and inactivates the  $\beta$ -lactam ring of the drug are some of them. Extended-spectrum  $\beta$ -lactamases (ESBLs) are defined as plasmid-encoded enzymes found in the Enterobacteriaceae, frequently in *Escherichia coli* and *Klebsiella pneumoniae*, that confer resistance to a variety of  $\beta$ -lactam antibiotics, including penicillins, 2nd, 3rd and 4th-generation cephalosporins and monobactams, but usually not the carbapenems or the cephamycins. ESBLs are classified mainly by two approaches: functional classification that are based on functional properties of enzymes and molecular classification based on proteins sequence similarity of the enzyme. For detection of ESBLs from samples collected from community and hospital settings, both phenotypic and genotypic approaches are needed. The phenotypic tests would only confirm a preliminary suspect for presence of ESBLs. For more detail and genetic evaluation of a sample, genotypic tests are necessary. Various livestock species are observed to be the reservoir of ESBL producing GNOs among which poultry and pigs are quite imminent. The present review narrates some of the recent findings on the subject in global, national and regional settings.

**Key words :** Antibiotic resistance, CTX-M, ESBL, *Escherichia coli*, *Klebsiella pneumoniae*, SHV, TEM.

Evolutions of antibiotics probably date back a million of years ago as an outcome of struggle for survival of microorganisms in environment although the scientific world came to know its existence only after 1945 when Sir Alexander Fleming was recognized with Nobel prize (Medeiros, 1997). Unknowingly human civilization is using

antibiotics since 350-550 CE as detectable amount of tetracycline have been found in the fossils of human bone from Sudanese Nubia which belonged to that period (Bassett *et al.*, 1980; Aminov, 2010). The therapeutic use of antibiotics began with Paul Ehrlich's concept of 'magic bullet' targeting pathogens only without causing any damage to the host.

<sup>1</sup>Department of Veterinary Pharmacology and Toxicology, Faculty of Veterinary and Animal Sciences, West Bengal University of Animal and Fishery Sciences, Kolkata-700037, West Bengal

<sup>2</sup>Indian Veterinary Research Institute, Eastern Regional Station, Belgachia, Kolkata-700037

\*Corresponding Author

This idea led Ehrlich and his colleagues to develop a synthetic antibacterial compound, arsphenamine in 1909, but it had many side effects (Aminov, 2010). Consequently, Prontosil, a sulfonamide, discovered by Gerhard Domagk (1932), became the first and the most accepted antibiotic (Sneider, 2005). Nonetheless, in 1928 Alexander Fleming was the first to report antibacterial property of *Penicillium* (Fleming, 1929). Almost after 12 years, Howard Florey and Ernest Chain successfully extracted the penicillin molecule (Chain *et al.*, 2005). Penicillin soon became widely used as cure for infection in hospitals caused by Gram-positive bacteria (Medeiros, 1997). On account of extensive use of penicillin and evolutionary selective pressure antibiotic resistant bacteria began to proliferate (Essack, 2001). *Staphylococcus aureus* resistance to penicillin was reported in hospitals in England shortly within the same year of its introduction (Barber, 1948).

Penicillin is classified as  $\beta$ -lactam antibiotic which inhibits the synthesis of cell wall that leads to bacterial death (Essack, 2001). Resistance to  $\beta$ -lactam antibiotics in gram negative organisms (GNOs) is mediated through different mechanisms such as mutations in the penicillin binding proteins (PBPs, binding site of the drug), disruption of porin proteins and efflux systems which reduces the cell wall permeability and moreover, production of enzyme that hydrolyzes and inactivates the  $\beta$ -lactam ring of the drug. There are more than 1000  $\beta$ -lactamase enzymes that can be grouped into four main classes (A–D) based upon amino

acid sequence homology (Bush and Jacoby, 2009). The most clinically important class A enzymes are known as extended-spectrum  $\beta$ -lactamases (ESBLs). Currently ESBLs are defined as plasmid-encoded enzymes found in the Enterobacteriaceae, frequently in *Escherichia coli* and *Klebsiella pneumoniae*, that confer resistance to a variety of  $\beta$ -lactam antibiotics, including penicillins, 2nd, 3rd and 4th-generation cephalosporins and monobactams (e.g. aztreonam), but usually not the carbapenems or the cephamycins (e.g. cefoxitin) (EFSA Panel on Biological Hazards, 2011). Although, species like *Haemophilus influenzae* (Pasteurellaceae), *Pseudomonas aeruginosa* (Pseudomonadaceae) and *Neisseria gonorrhoeae* (Neisseriaceae) are also known as ESBL producer (Rahman, 2014).

#### CLASSIFICATION

ESBLs are classified mainly by two approaches: functional classification by Bush, Jacoby and Medeiros based on functional properties of enzymes and molecular classification by Ambler based on proteins sequence similarity of the enzyme (Ambler, 1980; Bush *et al.*, 1995). According to the enzymes produced, ESBLs are categorized into three groups i.e. TEM (except TEM-1), SHV (except SHV-1 and 2) and CTX-M (EFSA Panel on Biological Hazards, 2011). Among them, CTX-M is observed as the most prevalent type throughout the world (Carattoli, 2013). While TEM and SHV-type ESBLs arise via substitutions in strategically positioned amino acids from the natural narrow spectrum TEM-1/-2, or SHV-1- $\beta$ -lactamase, all CTX-M enzymes

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demonstrate an ESBL phenotype (Gniadkowski, 2008). For example, SHV-2- $\beta$ -lactamase arises from SHV-1- $\beta$ -lactamase by replacement of glycine with serine at the 238 position. This mutation alone accounts for the extended-spectrum properties of this  $\beta$ -lactamase. Similarly TEM-type ESBLs derives from TEM-1 and TEM-2. TEM-1 was first reported in 1965 from an *Escherichia coli* isolate from a patient in Greece, named Temoneira (hence the designation TEM) (Datta and Kontomichalou, 1965). However, there are other enzymes as well that are known to produce by ESBL producing GNOs (e.g. PER, GES, VEB-1, BES-1, CME-1, FEC-1 and SFO-1) (Bradford, 2001; Shaikh *et al.*, 2015).

### DETECTION

ESBL producing GNOs lead to failure in treatment with major cephalosporin drugs like ceftazidime, ceftriaxone, cefotaxime and thus becoming a major concern worldwide. Throughout the last 2 decades many modern  $\beta$ -lactam antibiotics were developed to overcome treatment failure but with each new antibiotic, resistance strain has emerged. Most likely it is because of extensive use of antibiotic and associated selective pressure (Bradford, 2001).

There are standard guidelines for phenotypic detection published by the United States Clinical and Laboratory Standards Institute (CLSI) and the United Kingdom Health Protection Agency (HPA) for detection of ESBL in Enterobacteriaceae (*E. coli*, *Klebsiella*, *Proteus*). HPA also includes

guideline for other species such as *Salmonella*. The CLSI and HPA published methods show high sensitivity of up to 94% and specificity of 98% for detecting ESBLs in Enterobacteriaceae (Pitout and Laupland, 2008). CLSI suggested a two-step method, first is examination of zone of inhibition by using a cephalosporin (cefotaxime, ceftriaxone, ceftazidime, cefpodoxime and aztreonam), followed by zone examination by using combination of a cephalosporin and a  $\beta$ -lactam inhibitor (clavulanate). A  $\geq 5$ mm increase in zone diameter for cephalosporin/clavulanate combination versus cephalosporin zone when tested alone indicates probable ESBL production.

Some common methods used in clinical laboratories are double disk- synergy test (DDST), ESBL E-strip, combination disk method and three dimensional method. Automated test such as VITEK-2 ESBL test and Phoenix ESBL tests are also available. Every method has its own pros and cons, e.g. DDST is easy to use but optimal sensitivity cannot be guaranteed because distance for antibiotic disk placement is not standardized. ESBL E- Stripe is easy to use but result interpretation is challenging and it is not as sensitive as DDST. VITEK-2 is designed for detection of ESBL within 2-15 minutes but it fails to differentiate between ESBLs and AmpC (Rahman *et al.*, 2014). None of these tests are 100% specific for accurate detection of ESBL phenotypes.

Phenotypic tests detect the presence of ESBL merely, but to identify the type of ESBL enzymes (TEM, SHV or CTX-M) genotypic test is needed. Point mutation

around the active sites of parent enzymes sequence (TEM-1, TEM-2 and SHV-1) has extended its activity spectrum and thus led to the evolution of other derivatives. Therefore, identification of an isolate whether it is related to TEM or SHV is a difficult task. Earlier molecular detection was done by determining isoelectric point but with more than 90 types of TEM  $\beta$ -lactamase and many of them having similar isoelectric point, it is no longer possible to determine ESBLs (Bradford, 2001). There are other techniques available as well e.g. DNA probe, polymerase chain reaction (PCR), ligase chain reaction (LCR) and nucleotide sequencing. Some PCR based techniques do not use sequencing for ESBLs detection e.g. PCR with restriction fragment length polymorphism (PCR-RFLP) and PCR with single-strand conformational polymorphism (PCR-SSCP). The most used and acceptable method for molecular detection of ESBLs is PCR amplification followed by nucleotide sequencing. Point mutation in ESBL genes like  $bla_{TEM}$  or  $bla_{SHV}$  could easily be identified with this method.

Therefore, for detection of ESBLs from samples collected from community and hospital settings both phenotypic and genotypic approaches are needed. The phenotypic tests would only confirm a preliminary suspect for presence of ESBLs. For more details and genetic evaluation of a sample genotypic tests are necessary.

#### LIVESTOCK AS A RESERVOIR OF ESBL PRODUCING GNOs

First human infection with ESBL producing *Klebsiella pneumoniae* was reported from

Germany in 1983 (Knothe *et al.*, 1983). After 15 years, cattle and pigs were detected as reservoirs of *Salmonella* with CMY-2 in United States (Fey *et al.*, 2000; Winokur *et al.*, 2000). The poultry as a reservoir of CMY-2, CTX-M-14 and SHV-12-producing *E. coli* was first detected in Spain (Brinas *et al.*, 2003). The study conducted by European Food Safety Authority (EFSA) observed that the overall prevalence of ESBL-producing *E. coli* isolates in cattle in the European Union was 1.6%, with a range of 0% (Austria, Denmark, Finland, Sweden) to 6.5% (Hungary) (EFSA, 2011). In United States, the prevalence of ESBL-producing *E. coli* and *Salmonella* in cattle was 6% and 2.4%-14.5%, respectively (Wittum *et al.*, 2010 and USDA, 2011). In Asian cattle, the prevalence of ESBL-producing *E. coli* is 1-33% (Asai *et al.*, 2011; Hiroi *et al.*, 2011; Ho *et al.*, 2011 and Zheng *et al.*, 2012).

Poultry is the most important reservoir of ESBL-producing GNOs as numerous reports concern it. In European Union, mean prevalence of ESBL-producing *E. coli* was 8.5%, with a range of 0% (Denmark) to 26.4% (Spain) (Frye and Fedorka-Cray, 2007). In Asia, prevalence of ESBL-producing *E. coli* in poultry ranges between 8 and 60% (Asai *et al.*, 2011; Hiroi *et al.*, 2011, 2012; Ho *et al.*, 2011; Li *et al.*, 2010 and Zheng *et al.*, 2012).

Not much information on ESBL producing *E. coli* in livestock is available in India. In one earlier study negative correlation in possession of ESBL genes and shiga-toxin genes in *E. coli* isolated from healthy buffalo

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was revealed (Mahanti *et al.*, 2013). However, positive correlation between ESBL genes and other virulence genes (shiga toxins) was observed in *E. coli* isolated from diarrhoeic piglets in Mizoram state (Mandakini *et al.*, 2015). In West Bengal state, 29% prevalence of ESBL-producing *E. coli* was detected in broiler birds (Samanta *et al.*, 2015), whereas, the studied backyard poultry (RIR breed) were free from carriage of ESBL-producing *E. coli* and *Salmonella* (Samanta *et al.*, 2014a; 2014b). Probably lack of antibiotic use in backyard birds is the reason behind the finding. In Kuroiler birds, 45% of *E. coli* 23.9% of *Klebsiella* isolates were found to harbour any of the major ESBL genes studied ( $bla_{TEM}$ ,  $bla_{SHV}$ ,  $bla_{CTX-M}$ ) (Ghosh, 2015). Majority of the ESBL gene-possessing isolates (25 *E. coli* and 16 *Klebsiella*) from Kuroiler birds possessed  $bla_{SHV}$  gene whereas, majority of the ESBL-*E. coli* isolates (43%) from broilers possessed  $bla_{CTX-M}$ . ESBL-producing *E. coli* was also detected to be associated with methicillin-resistant *Staphylococcus epidermidis* (MRSE) and methicillin-

resistant *Staphylococcus aureus* (MRSA) causing clinical and subclinical mastitis in Holstein Friesian crossbred and non-descript cows in West Bengal (Bandyopadhyay *et al.*, 2015).

It may be concluded that the knowledge of Indian livestock as ESBL-GNO reservoir is insufficient that needs detailed studies in future. It is important not only from the point of animal health issues but also epidemiological perspective as the occupational workers closely associated with livestock might be responsible for transmission of resistant GNOs into the community.

## ACKNOWLEDGEMENT

The laboratory is supported by the grant (BT/164/NE/TBP/2011) of Twinning Programm for NE, sponsored by The Department of Biotechnology, Government of India, New Delhi. Authors are thankful to the Vice Chancellor, West Bengal University of Animal and Fishery Sciences, Kolkata for providing necessary infrastructure facilities of the laboratory.

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